

Annexure

Establishing and verifying the identity of a trust

Establish the identity of a trust by requesting the required documents to prove the existence of the trust and all the individual persons and non-individual persons that are connected to and/ or who represent the trust

FICA information and documents must be provided in respect of individual persons and non-individual persons that are connected to and/ or who represent the trust. These "connected persons" are as follows

- The founder(s) of the trust
- Each trustee of the trust;
- Each beneficiary of the trust referred to by name in the trust deed or other founding document in terms of which the trust is created; and
- Each authorised representative

Name of the trust

Trust reference number

Country of incorporation or domicile

Master of the High Court where the trust is registered

Business address

Postal / Zip code

Postal address (If different)

Postal / Zip code

Telephone number

Email address

Income tax number

VAT number (If applicable)

What is the purpose and intended nature of the business relationship?

Provide the details of the natural person/s who directly or indirectly owns the trust property

ID number

ID number

ID number

Provide the details of the natural person/ who exercises control of the administration of the trust arrangement

ID number

ID number

ID number

Provide details of the founder of the trust or if the founder is a legal person, a person acting on behalf of the partnership or the natural person who directly or indirectly ultimately owns or exercises effective control of that legal person or partnership

ID number

ID number

ID number

Provide details of each trustee of the trust and if the trustee is a legal person, a person acting on behalf of the partnership or the natural person who directly or indirectly ultimately owns or exercises effective control of that legal person or partnership

ID number

ID number

ID number

Provide details of each beneficiary referred to by name in the trust instrument or founding statement, or if a beneficiary is referred to by name is a legal person, a partnership or a person acting on behalf of the partnership or a person acting in pursuance of the provisions of the trust instrument, the natural person who directly or indirectly exercises effective control of the legal person or partnership of relevant trust property

ID number

ID number

ID number

ID number

ID number

ID number

ID number

ID number

ID number

Describe the nature of the trust e.g a testamentary trust, formed upon the death of the founder or "inter-vivos trust" which is formed during the lifetime of the founder

Describe the rights of the beneficiaries and ownership of trust property e.g. a bebind trust, (where the beneficiaries acquire ownership of trust assets but, the control and administration of the assets are transferred to trustees) and a discretionary trust (where the beneficiaries do not have ownership or vested rights over the trust assets and the income or capital they may receive is purely based on the discretion of the trustees)

Describe the purpose of the trust e.g. trading trust, asset protection trust, special trust or charitable trust

Describe the source of trust funds, including donations the trust received or will be receiving

Describe how the trust acquired or will be acquiring assets

Describe the location of any domestic or foreign assets acquired and held by the trust

Does the trust own any high-value assets, particularly high-end immovable property in exclusive or seaboard areas in South Africa? If "Yes" please provide more details

Yes No

Particulars of how the beneficiaries are determined (As per the trust deed)

Name of the person/s authorised to establish a business relationship or single transaction on behalf of the trust
(Please attach a resolution signed by all Trustees nominating the authorised signatory/ representative)

What is the nature of the type of products and services offered by the trust?

Does the trust operate solely within the country or does the trust have cross-border operations? Please indicate all the countries in which the trust operates

Does the trust have any trustees, beneficiaries or founders that are outside South Africa? If "Yes" please provide more details

Yes No

Does the trust have any trustees, beneficiaries or founders domiciled in any of the countries listed below? If "Yes" please indicate the relevant countries.

Yes No

Algeria Angola Bulgaria Burkina Faso Cameroon Cote d'ivoire Croatia Democratic Republic of the
Congo Haiti Iran Kenya Korea Lebanon Mali Monaco Mozambique Myanmar Namibia
Nigeria Philippines South Sudan Syria Tanzania Venezuela Vietnam Yemen

Is the trust an Accountable Institution as listed in Schedule 1 of the Financial Intelligence Centre Act? If "Yes" please indicate the type of Accountable Institution.

Yes No

Bank Casino Credit provider Crypto asset service provider Dealer in Foreign Exchange Estate Agent
Financial Services Provider High-value goods dealer Ithala Development Finance Corporation Limited Legal
practitioner Money Remitter Mutual Bank The South African Mint Company The South African Post Bank
Limited Trust or company service provider

PRIVACY STATEMENT

Our firm takes your privacy and the protection of your personal information seriously, and we will only use your personal information in accordance with Applicable Laws and our firm's privacy policy. It is important to us that you understand how we obtain, process, store, and share your information. By submitting any personal information to our firm, you provide consent to the processing and sharing where applicable of your personal information and/or that of your children or children that you have legal guardianship over (if applicable) as set out in the Privacy Policy. Please do not submit any personal information to our firm if you do not agree to any of the provisions of the Privacy Policy. If you do not consent to the provisions of the Privacy Policy, or parts thereof, we may not be able to provide our products and/or services to you. Please request our firm's privacy policies from our information officer

I,

in my capacity as

hereby declare the following:

- That all information provided in this confirmation is true, accurate and complete in every aspect.
- That where this confirmation is signed in a representative capacity, I have the legal authority to do so.
- That where any of the information provided in this confirmation change, I will inform you without delay.
- That I provide permission for you to perform the necessary third-party checks against the UNSC and TFS lists (including checks regarding Domestic and Foreign Politically Exposed persons), and that I have obtained the necessary approval from the individuals for you to do so.

Signature

Date (dd/mm/yyyy) / /

For office use only

Documents used to verify the identity of a trust

Trust deed, or other founding document Letters of Authority issued by the Master of the High Court where the Trust was registered (or equivalent document, for international trusts Copy of bank statement confirming trust account banking details (less than three months old) Organogram Notice of Registered Office and Postal Address Website Official document issued by a foreign authority for recording the incorporation of the trust Municipal rates and taxes invoice Lease or rental agreement Telkom or Cellphone account Mortgage statement from a Bank Short term insurance contract or statement Other

SCRUTINISING CLIENT INFORMATION AGAINST TARGETED FINANCIAL SANCTIONS LISTS

All prospective and current clients must be scrutinised against the TFS lists

To scrutinise the TFS lists click here: <https://tfs.fic.gov.za/Pages/Search>

Is the trust listed on the Targeted Financial Sanctions List?

Yes No

Name of employee responsible for screening the entity

Signature

Date of screening (dd/mm/yyyy) / /